

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Bernard L. Ballou et al.) Group Art Unit: 2611
)
Application No.: 09/781,680) Examiner: Unknown
)
Filed: February 12, 2001)
)
For: VIDEO DISTRIBUTION SYSTEM)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the following documents, which are also listed on an attached form PTO-1449. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by initialing them on the attached form PTO-1449, and returning a copy of the form PTO-1449 with the next communication to applicants.

For the Examiner's convenience, applicants have grouped the documents into groups A-J to assist the Examiner during the examination of this application. Applicants believe that the documents in groups D-G may be relevant to the claimed subject matter of this application, however, documents in the other groups may also be relevant. Therefore, applicants respectfully request that the Examiner consider all of the documents listed herein. Copies of the listed documents are submitted herewith, unless otherwise noted.

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D. Copyright Protection/ Payment for Media Content

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In addition to the documents listed above, applicants bring to the attention of the Examiner the following commonly assigned pending U.S. Patent Applications:

09/781,679 Bernard L. BALLOU et al. (filed February 12, 2001).
10/035,172 Charles Eric HUNTER et al. (filed January 4, 2002).
10/072,471 Charles Eric HUNTER et al. (filed February 7, 2002).

Finally, applicants bring to the attention of the Examiner the website www.commerce-tv.com, although applicants do not have a copy of the website and the website is apparently no longer in use.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that any of the applied documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b), for which no fee is believed due. If there are any fees due in connection with the filing of this Statement, please charge the fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: August 19, 2002

By: 

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INFORMATION DISCLOSURE CITATION

Atty. Docket No.	8159.0013	Appln. No.	09/781,680
Applicant	Bernard L. Ballou et al.		
Filing Date	February 12, 2001	Group:	2611

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Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Publication Date (if appropriate)
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		3,376,465	Apr. 1968	CORPEW			
		3,848,193	Nov. 1974	MARTIN et al.	325	53	
		3,941,926	Mar. 1976	SLOBODZIAN et al.	178	7.3	
		3,983,317	Sept. 1976	GLORIOSO	178	6.6	
		3,993,955	Nov. 1976	BELCHER et al.	325	308	
		4,094,010	June 1978	PEPPERL et al.	365	215	
		4,155,042	May 1979	PERMUT et al.	325	64	
		4,332,022	May 1982	CESHKOVSKY et al.	369	44	
		4,368,485	Jan. 1983	MIDLAND	358	64	
		4,476,488	Oct. 1984	MERRELL	358	86	
		4,536,791	Aug. 1985	CAMPBELL et al.	358	122	
		4,559,480	Dec. 1985	NOBS	315	324	
		4,575,750	Mar. 1986	CALLAHAN	358	86	
		4,595,950	June 1986	LOFBERG	358	122	
		4,654,482	Mar. 1987	DeANGELIS	379	95	
		4,716,410	Dec. 1987	NOZAKI	340	825.520	
		4,734,779	Mar. 1988	LEVIS et al.	358	231	
		4,734,858	Mar. 1988	SCHLAFLY	364	408	
		4,761,641	Aug. 1988	SCHREIBER	340	717	
		4,797,913	Jan. 1989	KAPLAN et al.	379	91	
		4,812,843	Mar. 1989	CHAMPION, III et al.	340	905	
		4,829,569	May 1989	SETH-SMITH et al.	380	10	
		4,847,825	July 1989	LEVINE	369	47	
		4,862,268	Aug. 1989	CAMPBELL et al.	358	141	
		4,908,713	Mar. 1990	LEVINE	358	335	
		4,949,187	Aug. 1990	COHEN	358	335	

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		5,051,822	Sept. 1991	RHOADES	358	86	
		5,073,925	Dec. 1991	NAGATA et al.	380	3	
		5,107,107	Apr. 1992	OSBORNE	250	231.14	
		5,121,430	June 1992	GANZER et al.	380	48	
		5,123,046	June 1992	LEVINE	380	10	
		5,133,079	July 1992	BALLANTYNE et al.	455	4.1	
		5,182,669	Jan. 1993	CHIKUMA et al.	359	241	
		5,191,573	Mar. 1993	HAIR	369	84	
		5,214,793	May 1993	CONWAY et al.	455	49.1	
		5,233,423	Aug. 1993	JERNIGAN et al.	358	181	
		5,235,587	Aug. 1993	BEARDEN et al.	369	106	
		5,251,193	Oct. 1993	NELSON et al.	369	44.12	
		5,257,017	Oct. 1993	JONES et al.	345	13	
		5,260,778	Nov. 1993	KAUFFMAN et al.	358	86	
		5,274,762	Dec. 1993	PETERSON et al.	395	200	
		5,283,731	Feb. 1994	LaLONDE et al.	364	401	
		5,297,204	Mar. 1994	LEVINE	380	10	
		5,311,423	May 1994	P. DEBORAH CLARK	364	401	
		5,319,735	June 1994	PREUSS et al.	395	2.14	
		5,355,302	Oct. 1994	MARTIN et al.	364	410	
		5,365,282	Nov. 1994	LEVINE	348	734	
		5,373,330	Dec. 1994	LEVINE	348	734	
		5,414,756	May 1995	LEVINE	379	67	
		5,418,713	May 1995	ALLEN	364	403	
		5,420,647	May 1995	LEVINE	348	734	
		5,420,923	May 1995	BEYERS, II et al.	380	20	

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		5,428,606	June 1995	MOSKOWITZ	370	60	
		5,465,291	Nov. 1995	BARRUS et al.	379	67	
		5,469,020	Nov. 1995	HERRICK	313	511	
		5,473,584	Dec. 1995	OSHIMA	369	32	
		5,486,819	Jan. 1996	HORIE	340	905	
		5,495,283	Feb. 1996	COWE	348	9	
		5,497,186	Mar. 1996	KAWASAKI	348	6	
		5,497,479	Mar. 1996	HORNBuckle	395	491	
		5,508,815	Apr. 1996	LEVINE	358	335	
		5,512,935	Apr. 1996	MAJETI et al.	348	9	
		5,513,260	Apr. 1996	RYAN	380	3	
		5,530,751	June 1996	MORRIS	380	4	
		5,532,920	July 1996	HARTRICK et al.	364	419.1	
		5,543,856	Aug. 1996	ROSSER et al.	348	578	
		5,557,541	Sept. 1996	SCHULHOF et al.	364	514	
		5,559,549	Sept. 1996	HENDRICKS et al.	348	6	
		5,565,909	Oct. 1996	THIBADEAU et al.	348	9	
		5,568,272	Oct. 1996	LEVINE	386	48	
		5,592,511	Jan. 1997	SCHOEN et al.	375	220	
		5,592,551	Jan. 1997	LETT et al.	380	20	
		5,592,626	Jan. 1997	PAPADIMITRIOU et al.	395	200.09	
		5,600,839	Feb. 1997	MACDONALD	395	750	
		5,612,741	Mar. 1997	LOBAN et al.	348	383	
		5,619,247	Apr. 1997	RUSO	348	3	
		5,621,863	Apr. 1997	BOULET et al.	395	124	
		5,627,895	May 1997	OWAKI	380	54	
		5,628,050	May 1997	McGRAW et al.	455	12.1	

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		5,638,113	June 1997	LAPPINGTON et al.	348	12	
		5,640,453	June 1997	SCHUCHMAN et al.	380	10	
		5,644,859	July 1997	HSU	40	545	
		5,646,603	July 1997	NAGATA et al.	340	825.25	
		5,646,997	July 1997	BARTON	380	23	
		5,654,747	Aug. 1997	OTTESEN et al.	348	12	
		5,659,366	Aug. 1997	KERMAN	348	460	
		5,659,613	Aug. 1997	COPELAND et al.	380	3	
		5,661,516	Aug. 1997	CARLES	348	8	
		5,664,018	Sept. 1997	LEIGHTON	380	54	
		5,675,734	Oct. 1997	HAIR	395	200.01	
		5,684,918	Nov. 1997	ABECASSIS	386	83	
		5,686,954	Nov. 1997	YOSHINOBU et al.	348	13	
		5,689,799	Nov. 1997	DOUGHERTY et al.	455	2	
		5,692,214	Nov. 1997	LEVINE	395	833	
		5,701,161	Dec. 1997	WILLIAMS et al.	348	468	
		5,701,383	Dec. 1997	RUSSO et al.	386	46	
		5,701,397	Dec. 1997	STEIMLE et al.	395	27	
		5,710,869	Jan. 1998	GODEFRAY et al.	395	21	
		5,717,814	Feb. 1998	ABECASSIS	386	46	
		5,717,832	Feb. 1998	STEIMLE et al.	395	24	
		5,721,827	Feb. 1998	LOGAN et al.	395	200.47	
		5,721,951	Feb. 1998	DorEL	395	830	
		5,724,062	Mar. 1998	HUNTER	345	102	
		5,724,091	Mar. 1998	FREEMAN et al.	348	13	
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Applicant	Bernard L. Ballou et al.		
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		5,740,326	Apr. 1998	BOULET et al.	395	27	
		5,748,716	May 1998	LEVINE	379	102.03	
		5,758,257	May 1998	HERZ et al.	455	2	
		5,760,820	June 1998	EDA et al.	348	9	
		5,761,606	June 1998	WOLZIEN	455	6.2	
		5,781,734	July 1998	OHNO et al.	395	200.47	
		5,790,202	Aug. 1998	KUMMER et al.	348	553	
		5,790,937	Aug. 1998	GUTLE	455	6.3	
		5,799,285	Aug. 1998	KLINGMAN	705	26	
		5,805,154	Sept. 1998	BROWN	345	327	
		5,805,763	Sept. 1998	LAWLER et al.	386	83	
		5,809,139	Sept. 1998	GIROD et al.	380	5	
		5,818,806	Oct. 1998	WONG et al.	369	59	
		5,822,432	Oct. 1998	MOSKOWITZ et al.	380	28	
		5,825,354	Oct. 1998	AHMAD et al.	345	327	
		5,825,407	Oct. 1998	COWE et al.	348	6	
		5,826,123	Oct. 1998	LAI	396	446	
		5,832,287	Nov. 1998	ATALLA	395	800	
		5,835,896	Nov. 1998	FISHER et al.	705	37	
		5,841,979	Nov. 1998	SCHULHOF et al.	395	200.67	
		5,845,083	Dec. 1998	HAMADANI et al.	395	200.61	
		5,848,129	Dec. 1998	BAKER	379	67	
		5,848,155	Dec. 1998	COX	380	4	
		5,848,352	Dec. 1998	DOUGHERTY et al.	455	5.1	
		5,854,779	Dec. 1998	JOHNSON et al.	369	59	
		5,860,068	Jan. 1999	COOK	705	26	

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		5,870,717	Feb. 1999	WIECHA	705	26	
		5,874,985	Feb. 1999	MATTHEWS, III	348	7	
		5,889,868	Mar. 1999	MOSKOWITZ et al.	380	51	
		5,890,136	Mar. 1999	KIPP	705	22	
		5,897,622	Apr. 1999	BLINN et al.	705	26	
		5,898,384	Apr. 1999	ALT et al.	340	825.36	
		5,899,980	May 1999	WILF et al.	705	26	
		5,903,878	May 1999	TALATI	705	26	
		5,905,800	May 1999	MOSKOWITZ et al.	380	28	
		5,909,492	June 1999	PAYNE et al.	380	24	
		5,915,027	June 1999	COX et al.	380	54	
		5,915,068	June 1999	LEVINE	386	83	
		5,918,213	June 1999	BERNARD et al.	705	26	
		5,930,369	July 1999	COX et al.	380	54	
		5,933,798	Aug. 1999	LINNARTZ	702	191	
		5,934,795	Aug. 1999	RYKOWSKI et al.	362	309	
		5,940,135	Aug. 1999	PETROVIC et al.	348	473	
		5,940,807	Aug. 1999	PURCELL	705	26	
		5,943,670	Aug. 1999	PRAGER	707	5	
		5,946,665	Aug. 1999	SUZUKI et al.	705	26	
		5,949,885	Sept. 1999	LEIGHTON	380	54	
		5,959,945	Sep. 1999	KLEIMAN et al.	369	30	
		5,960,081	Sept. 1999	VYNNE et al.	380	10	
		5,960,411	Sep. 1999	HARTMAN et al.	705	26	
		5,963,264	Oct. 1999	JACKSON	348	460	
		5,963,915	Oct. 1999	KIRSCH	705	26	
		5,963,917	Oct. 1999	OGRAM	705	26	

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	8159.0013	Appln. No.	09/781,680
Applicant	Bernard L. Ballou et al.		
Filing Date	February 12, 2001	Group:	2611

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Publication Date (if appropriate)
		5,966,440	Oct. 1999	HAIR	380	4	
		5,966,697	Oct. 1999	FERGERSON et al.	705	26	
		5,969,283	Oct. 1999	LOONEY et al.	84	609	
		5,969,715	Oct. 1999	DOUGHERTY et al.	345	327	
		5,970,471	Oct. 1999	HILL	705	26	
		5,970,472	Oct. 1999	ALLSOP et al.	705	26	
		5,970,473	Oct. 1999	GERSZBERG et al.	705	26	
		5,970,474	Oct. 1999	LEROY et al.	705	27	
		5,970,475	Oct. 1999	BARNES et al.	705	27	
		5,974,396	Oct. 1999	ANDERSON et al.	705	10	
		5,978,775	Nov. 1999	CHEN	705	26	
		5,983,199	Nov. 1999	KANEKO	705	26	
		5,983,200	Nov. 1999	SLOTZNICK	705	26	
		5,983,201	Nov. 1999	FAY	705	27	
		5,988,078	Nov. 1999	LEVINE	110	8	
		5,992,888	Nov. 1999	NORTH et al.	283	56	
		6,002,772	Dec. 1999	SAITO	380	49	
		6,013,007	Jan. 2000	ROOT et al.	482	8	
		6,014,491	Jan. 2000	HAIR	386	31	
		6,025,868	Feb. 2000	RUSO	348	3	
		6,052,554	Apr. 2000	HENDRICKS et al.	455	5.1	
		6,067,107	May 2000	TRAVAILLE et al.	348	1	
		6,067,532	May 2000	GEBB	705	37	
		6,073,372	June 2000	DAVIS	40	124.16	
		6,091,883	July 2000	ARTIGALAS et al.	386	83	
		6,115,348	Sept. 2000	GUERRA	369	112	
		6,148,142	Nov. 2000	ANDERSON	386	125	

INFORMATION DISCLOSURE CITATION

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U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Publication Date (if appropriate)
		6,148,428	Nov. 2000	WELCH et al.	714	752	
		6,150,964	Nov. 2000	McLAUGHLIN	341	59	
		6,233,389	May 2001	BARTON et al.	386	46	
		6,233,682	May 2001	FRITSCH	713	168	
		6,240,401	May 2001	OREN et al.	705	40	
		Re 35,954	Nov. 1998	LEVINE	380	10	
		2001/0002852		KWOH	348	465	June 7, 2001
		2001/0003846		ROWE et al.	725	47	June 14, 2001
		2001/0005906		HUMPLEMAN	725	82	June 28, 2001
		2001/0010045		STEFIK et al.	705	51	July 26, 2001
		2001/0010095		ELLIS et al.	725	44	July 26, 2001
		2001/0013037		MATSUMOTO	707	5	Aug. 9, 2001
		2001/0013120		TSUKAMOTO	725	5	Aug. 9, 2001
		2001/0014882		STEFIK et al.	705	51	Aug. 16, 2001
		2001/0016836		BOCCON-GIBOD et al.	705	51	Aug. 23, 2001
		2001/0017920		SON et al.	380	212	Aug. 30, 2001
		2001/0018742		HIRAI	713	193	Aug. 30, 2001
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